

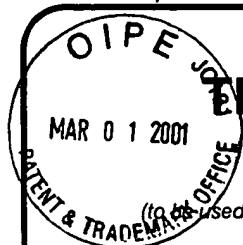
Please type a plus sign (+) inside this box →

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/767,610

Filing Date January 22, 2001

First Named Inventor Mark Moriconi

Group Art Unit Unknown

Examiner Name Unknown

Total Number of Pages in This Submission

9

Attorney Docket Number PA1677US

RECEIVED
MAR 30 2001

Technology Center 2100

ENCLOSURES (check all that apply)

- Fee Transmittal Form
- Fee Attached
- Amendment / Reply
- After Final
- Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Response to Missing Parts/ Incomplete Application
- Response to Missing Parts under 37 CFR 1.52 or 1.53

- Assignment Papers (for an Application)
- Drawing(s)
- Licensing-related Papers
- Petition
- Petition to Convert to a Provisional Application
- Power of Attorney, Revocation Change of Correspondence Address
- Terminal Disclaimer
- Request for Refund
- CD, Number of CD(s) _____

- After Allowance Communication to Group
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- Proprietary Information
- Status Letter
- Other Enclosure(s) (please identify below):
(1) Statement of Copending Applications (2) 19 references, and (3) Return Postcard

Remarks

Information Disclosure Statement includes forms PTO/SB/08A and PTO/SB/08B as well as a separate 2-page Information Disclosure Statement by Applicant. Total page number does not include postcard and references.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Carr & Ferrell, LLP Cust. No. 22830

Signature

Date

February 26, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Typed or printed name

David Lewis

Signature

Date

February 26, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE

UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED
MAR 30 2001
Technology Center 2100
#4
4/20/01
A.W.

APPLICANTS: Mark Moriconi and Shelly Qian

SERIAL NO.: 09/767,610

FILED: January 22, 2001

TITLE: System and Method for Maintaining Security in a
Distributed Computer Network

EXAMINER: Unknown

GROUP ART UNIT: Unknown

ATTY.DKT.NO.: PA1677US

COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

INFORMATION DISCLOSURE STATEMENT
Under 37 C.F.R. §§ 1.56, and 1.97-1.98

SIR:

Pursuant to the provisions of 37 C.F.R. §§ 1.56 and 1.97-98 of the Rules of Practice in Patent Cases, enclosed herewith is form PTO/SB/08A&B listing several references, copies of which are enclosed. The Examiner is requested to make these references of official record in the application. The references cited may be material to examination of the application and are submitted in compliance with Applicants' duty of disclosure as defined by 37 C.F.R. § 1.56.

No representation is made or intended as to the completeness of this list, nor is the inclusion of any reference on this list an admission that it is prior art or pertinent to this application.

Respectfully submitted,

Mark Moriconi and Shelly Qian

Dated: February 26, 2001

By: David Lewis

David Lewis, Reg. No. 33,101

Carr & Ferrell LLP

2225 East Bayshore Road, Suite 200

Palo Alto, CA 94303

TEL: (650) 812-3400

FAX: (650) 812-3444

RECEIVED
MAR 30 2001
Technology Center 2100

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on the date printed below:

Dated: February 26, 2001

By:

David Lewis

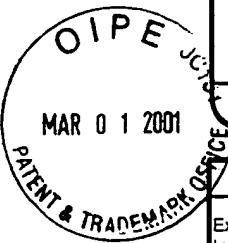
David Lewis

Please type a plus sign (+) inside this box →

PTO/SB/08A (08-00)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	09/767,610
Filing Date	January 22, 2001			First Named Inventor	Mark Moriconi
Group Art Unit	Unknown			Examiner Name	Unknown
Sheet	1	of	2	Attorney Docket Number	PA1677US



MAR 01 2001

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Shee

1

of

2

Application Number 09/767,610

Application Number	09/767,610
Filing Date	January 22, 2001
First Named Inventor	Mark Moriconi
Group Art Unit	Unknown
Examiner Name	Unknown

RECEIVED
MAR 30 2001
Technology Center 2100

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231.
DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box →

PTO/SB/08B (08-00)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	09/767,610
				Filing Date	January 22, 2001
				First Named Inventor	Mark Moriconi
				Group Art Unit	Unknown
				Examiner Name	Unknown
				Attorney Docket Number	PA1677US
(use as many sheets as necessary)				Techno	
Sheet	2	of	2		

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS		
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
EMANUELE C191		ENTITLENET, INC., 6 Webpages from Website, URL: www.entitlenet.com/ Publication Date Unknown, Download Date: 11-17-2000
EMANUELE C191		JOHN FIELD, "From Authorization to Transactional Entitlement," Website of Transindigo, Inc., URL: www.transindigo.com/ Published: 09/2000
EMANUELE C191		JOHN FIELD, "Dynamic Enterprise Entitlement," Website of Transindigo, Inc., URL: www.transindigo.com/ Published: 09/2000
EMANUELE C191		JOHN FIELD, "Using Elara (TM)," Website of Transindigo, Inc., URL: www.transindigo.com/ Published: 09/2000
EMANUELE C191		AUTHOR UNKNOWN, "AppShield (TM) Version 3.0," (White Paper), Website of Sanctum, Inc., URL: www.sanctuminc.com Published:12/2000
EMANUELE C191		

Examiner Signature		Date Considered	
-------------------------------	--	----------------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Mark Moriconi et al.
SERIAL NO.: 09/767,610
FILED: January 22, 2001
TITLE: System and Method for Maintaining Security in a
Distributed Computer Network
EXAMINER: Unknown
GROUP ART UNIT: Unknown
ATTY.DKT.NO.: PA1677US

COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

List of Co-Pending Patent Applications that may be Related

Examiner's Initials	Serial Number	First-Named Inventor	Title	Filing Date
	09/721,557	Mark Moriconi	System and Method for Maintaining Security in a Distributed Computer Network	11/22/00
Examiner's Signature:				Date